

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8083134
<b>Application Number:</b>	10655409
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6575
<b>Title of Invention:</b>	Computer-implemented securities trading system with a virtual specialist function
<b>First Named Inventor/Applicant Name:</b>	Timothy M. Keiser
<b>Customer Number:</b>	63710
<b>Filer:</b>	Christopher David Agnew
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	98-HSX001-C5
<b>Receipt Date:</b>	23-JUL-2010
<b>Filing Date:</b>	04-SEP-2003
<b>Time Stamp:</b>	21:51:43
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 280
RAM confirmation Number	5324
Deposit Account	503938
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Terminal Disclaimer Filed	98-HSX001-C5_100723_Terminal_Disclaimer_09-465607.pdf	62751 5cbe0fbefde0d727131cbf97b3a71a39c1615ffe	no	2

### Warnings:

### Information:

2	Terminal Disclaimer Filed	98-HSX001-C5_100723_Terminal_Disclaimer_11-351614.pdf	63061 e47bfc11c6cc65d0394cfa7269227eda4ed4c3a7	no	2
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### Warnings:

### Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	30077 27ea262c464dc0420078bb976049508113ab1645	no	2
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### Warnings:

### Information:

<b>Total Files Size (in bytes):</b>	155889
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.